

1645
BOX 500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Cano et al.

Serial No.: 09/612,925

Filing Date: July 10, 2000

Title: EXPRESSION SYSTEM OF HETEROLOGOUS ANTIGENS AS FUSION PROTEINS

Examiner: Devi, S.

Art Unit: 1645

Attorney Docket No.: LEXSA P-13 div2

U.S. Patent and Trademark Office
Box Sequence, P.O. Box 2327
Arlington, VA 22202

TRANSMITTAL

Dear Sir:

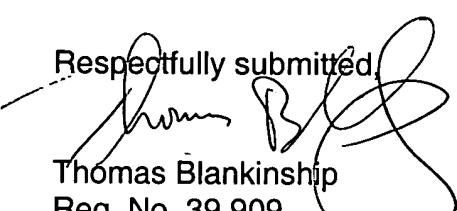
Transmitted herewith are:

- (1) an Amendment for the above-identified application;
- (2) a CRF copy of the Sequence Listing;
- (3) a paper copy of the Sequence Listing;
- (4) a copy of the Notice to Comply and CRF Problem Report;
- (5) a copy of the Response mailed on January 18, 2002; and
- (6) a copy of the Response mailed on October 25, 2001.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202 on July 5, 2002.

Date: July 5, 2002

Respectfully submitted,


Thomas Blankinship
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(914) 723-4300

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Application No. 09/612,925

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

**O I P E
MAILED DATE CANCELLATION
PATENT & TRADEMARK OFFICE**

- 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- 7. Other: _____

Applicant Must Provide:

- An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11

Application Number

09/612,925

Filing Date

07/10/2000

First Named Inventor

Cano et al.

Group Art Unit

1641

Examiner Name

S. Devi

Attorney Docket Number

LEXSA P-13 div2

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):
Sequence Listing
3.5 Floppy with
CFR copy of Sequence Listing

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Thomas Blankinship, Reg. No. 39,909

Signature



Date

10/25/2001

CERTIFICATE OF MAILING

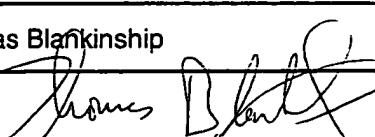
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Inventor: Caro et al
Docket No.: LEXSA P-13 div 2
Serial No.: 09/612 925
Resp. Due: Oct 25 2001
Date Sent: Oct 25 2001

Sir:

Kindly acknowledge receipt of the accompanying

<input type="checkbox"/> Month(s) Ext. of Time	<input type="checkbox"/> New Application
<input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> \$ _____ Check
<input type="checkbox"/> Response	<input type="checkbox"/> Base Issue Fee
<input type="checkbox"/> Response to Final	<input type="checkbox"/> Balance Issue Fee
<input type="checkbox"/> Appeal Brief	<input type="checkbox"/> Priority Documents
<input checked="" type="checkbox"/> 37 CFR 1.8(a) Cert.	<input type="checkbox"/> Discl. Statement
<input type="checkbox"/> 37 CFR 1.10 Cert. (No. _____)	

Other Sequence Listing
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Sequence Listing

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